

# 2-bicategories of polynomials

Mark Weber

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# Background on lccc's

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Recall given  $f : X \rightarrow Y$  in  $\mathcal{E}$  a category with pullbacks

$$\begin{array}{ccc} & \xrightarrow{\Sigma_f} & \\ & \perp & \\ \mathcal{E}/X & \xleftarrow{\Delta_f} & \mathcal{E}/Y \\ & \perp & \\ & \xrightarrow{\Pi_f} & \end{array}$$

When  $\Delta_f$  has a further right adjoint, denoted  $\Pi_f$ ,  $f$  is said to be **exponentiable**.

# Background on lccc's

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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# Background on lccc's

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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# Key notions

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

The **polynomial functor**  $\mathcal{E}/X \rightarrow \mathcal{E}/Y$  associated to a polynomial

$$p : X \xleftarrow{p_1} A \xrightarrow{p_2} B \xrightarrow{p_3} Y$$

is the composite  $\mathbf{P}(p) := \Sigma_{p_3} \Pi_{p_2} \Delta_{p_1}$ .

# Key notions

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

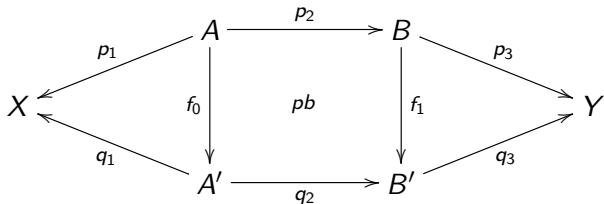
Examples

More  
examples

The **polynomial functor**  $\mathcal{E}/X \rightarrow \mathcal{E}/Y$  associated to a polynomial

$$p : X \xleftarrow{p_1} A \xrightarrow{p_2} B \xrightarrow{p_3} Y$$

is the composite  $\mathbf{P}(p) := \sum_{p_3} \prod_{p_2} \Delta_{p_1}$ . A morphism of polynomials is a diagram of the form



and induces a cartesian transformation  $\mathbf{P}(p) \rightarrow \mathbf{P}(q)$ .

# Monoid monad on **Set**

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

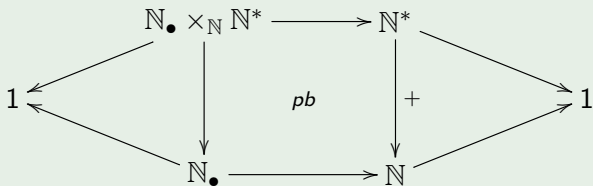
Iterability

2-bicategories

Examples

More  
examples

## Example



# Monoid monad on **Set**

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

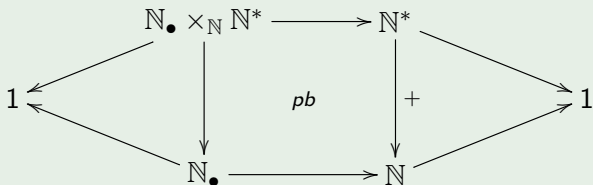
Iterability

2-bicategories

Examples

More  
examples

## Example



gives rise to the multiplication for the monoid monad.



## Theorem

*(Gambino and Kock 2009) Let  $\mathcal{E}$  be locally cartesian closed.*

- 1 *Objects of  $\mathcal{E}$ , polynomials over  $\mathcal{E}$  and morphisms of polynomials form a bicategory **Poly** $_{\mathcal{E}}$ .*

## Theorem

*(Gambino and Kock 2009) Let  $\mathcal{E}$  be locally cartesian closed.*

- 1 Objects of  $\mathcal{E}$ , polynomials over  $\mathcal{E}$  and morphisms of polynomials form a bicategory **Poly** $_{\mathcal{E}}$ .*
- 2 The construction of polynomial functors from polynomials gives a homomorphism **P** $_{\mathcal{E}} : \mathbf{Poly}_{\mathcal{E}} \rightarrow \mathbf{CAT}$ .*

## Theorem

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- 2 The construction of polynomial functors from polynomials gives a homomorphism  $\mathbf{P}_{\mathcal{E}} : \mathbf{Poly}_{\mathcal{E}} \rightarrow \mathbf{CAT}$ .

However the examples of polynomials we are interested in are in  $\mathbf{CAT}$ . Also of interest are polynomials in  $\mathbf{Top}$  – Bisson and Joyal, *The Dyer-Lashof Algebra in Bordism*, 1995.

# Outline

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

## 1 Basic generalisation

# Outline

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

1 Basic generalisation

2 Enrichment over  $\mathbf{CAT}_{pb}$  and iterability

# Outline

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

- 1 Basic generalisation
- 2 Enrichment over  $\mathbf{CAT}_{pb}$  and iterability
- 3 The 2-bicategory of polynomials in a 2-category

# Outline

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

- 1 Basic generalisation
- 2 Enrichment over  $\mathbf{CAT}_{pb}$  and iterability
- 3 The 2-bicategory of polynomials in a 2-category
- 4 Examples from 2-category theory

# Outline

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

- 1 Basic generalisation
- 2 Enrichment over  $\mathbf{CAT}_{pb}$  and iterability
- 3 The 2-bicategory of polynomials in a 2-category
- 4 Examples from 2-category theory
- 5 Examples from higher category theory



# Generalisation to all categories with pullbacks

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

## Theorem

*Let  $\mathcal{E}$  be a category with pullbacks.*

- 1** *Objects of  $\mathcal{E}$ , polynomials over  $\mathcal{E}$  and morphisms of polynomials form a bicategory  $\mathbf{Poly}_{\mathcal{E}}$ .*
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# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples



# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

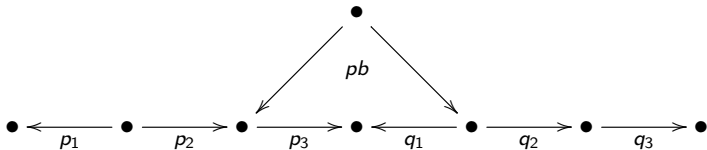
Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples



# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

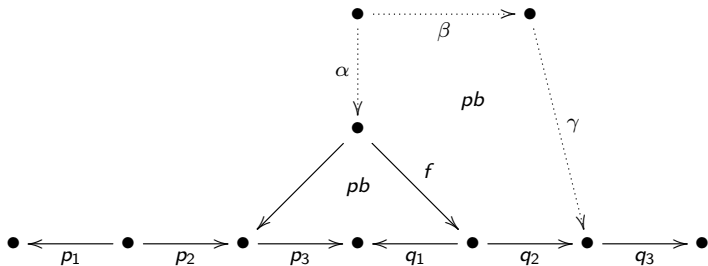
Iterability

2-bicategories

Examples

More  
examples

At this point one can consider the category of triples of morphisms  $(\alpha, \beta, \gamma)$  as shown



making the square with boundary  $(f\alpha, q_2, \gamma, \beta)$  a pullback.

# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

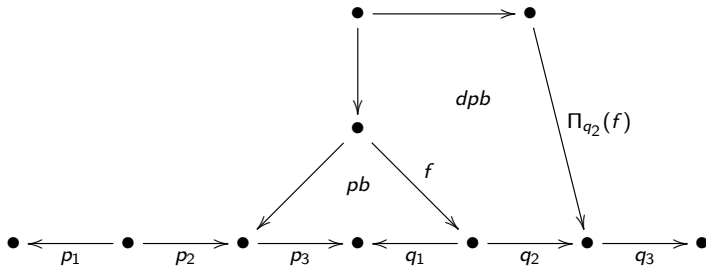
Iterability

2-bicategories

Examples

More  
examples

The terminal such is the **distributivity pullback** of  $f$  along  $q_2$ .



# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

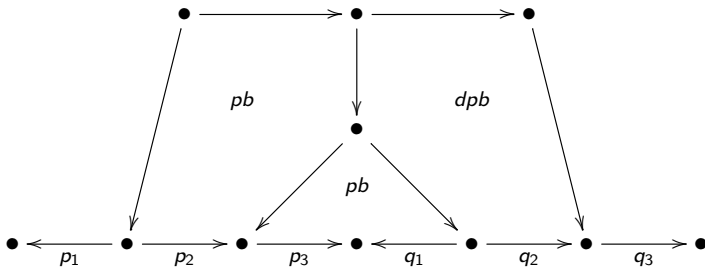
Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples



# Composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

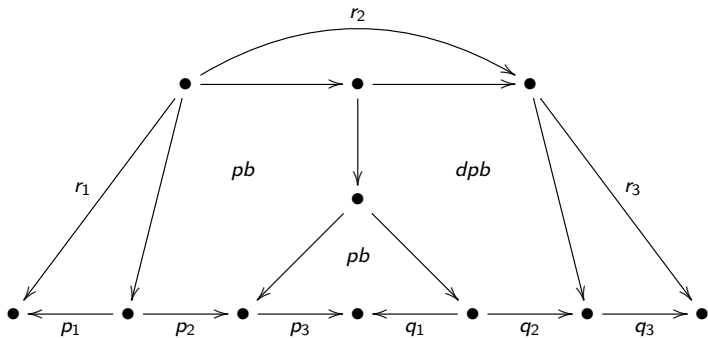
Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples



## Lemma

*(Composition/cancellation) Given*

$$\begin{array}{ccccc}
 B_6 & \xrightarrow{h_9} & B_4 & \xrightarrow{h_6} & B_5 \\
 h_8 \downarrow & & \downarrow h_5 & & \downarrow h_7 \\
 B_2 & \xrightarrow{h_3} & B_3 & & \\
 h_2 \downarrow & & \downarrow h_4 & & \\
 B & & & & \\
 h \downarrow & & & & \\
 X & \xrightarrow{f} & Y & \xrightarrow{g} & Z
 \end{array}$$

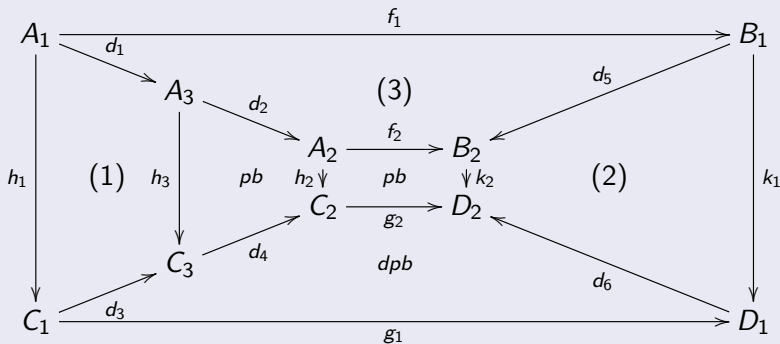
$pb$  (between  $B_6 \rightarrow B_4$  and  $B_2 \rightarrow B_3$ )  
 $dpb$  (between  $B_2 \rightarrow B$  and  $B_3 \rightarrow Y$ )  
 $pb$  (between  $B_4 \rightarrow B_5$  and  $B_3 \rightarrow Z$ )

*in any category with pullbacks, then the right-most pullback is a distributivity pullback around  $(g, h_4)$  iff the composite diagram is a distributivity pullback around  $(gf, h)$ .*



## Lemma

(The cube lemma). Given



where (3) is a pullback. Then (1) and (2) are pullbacks iff (3) is a distributivity pullback.

# Unbiased composition of polynomials

2-bicategories  
of polynomials

Mark Weber

Introduction

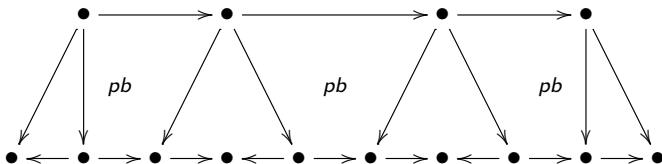
Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples



# Enrichment over $\mathbf{CAT}_{pb}$

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

The category  $\mathbf{CAT}_{pb}$  of categories with pullbacks and pullback preserving functors is cartesian closed. The internal hom  $[X, Y]$  is the category of pullback preserving functors  $X \rightarrow Y$  and cartesian transformations between them.

# Enrichment over $\mathbf{CAT}_{\text{pb}}$

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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A  $\mathbf{CAT}_{\text{pb}}$ -bicategory is a bicategory  $\mathcal{B}$  whose homs have pullbacks and whose compositions

$$\text{comp}_{X,Y,Z} : \mathcal{B}(Y, Z) \times \mathcal{B}(X, Y) \rightarrow \mathcal{B}(X, Z)$$

preserve them. Categories enriched in  $\mathbf{CAT}_{\text{pb}}$  are exactly those  $\mathbf{CAT}_{\text{pb}}$ -bicategories whose underlying bicategory is a 2-category.

# Enrichment over $\mathbf{CAT}_{\text{pb}}$

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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A *homomorphism*  $F : \mathcal{B} \rightarrow \mathcal{C}$  of  $\mathbf{CAT}_{\text{pb}}$ -bicategories is a homomorphism of their underlying bicategories whose hom functors preserve pullbacks.

# Iterability of the theory

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

## Theorem

Let  $\mathcal{E}$  be a category with pullbacks. Then  $\mathbf{Poly}_{\mathcal{E}}$  is a  $\mathbf{CAT}_{\text{pb}}$ -bicategory and

$$\mathbf{P}_{\mathcal{E}} : \mathbf{Poly}_{\mathcal{E}} \rightarrow \mathbf{CAT}_{\text{pb}}$$

is a homomorphism of  $\mathbf{CAT}_{\text{pb}}$ -bicategories.

# Iterability of the theory

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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is a homomorphism of  $\mathbf{CAT}_{\text{pb}}$ -bicategories.

Since the homs of  $\mathbf{Poly}_{\mathcal{E}}$  also have pullbacks we can apply the theorem to any of those homs in place of  $\mathcal{E}$ .

A **2-bicategory** is a bicategory  $\mathcal{B}$



A **2-bicategory** is a bicategory  $\mathcal{B}$  whose hom categories are endowed with 2-cells making them 2-categories

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$$\text{comp}_{X,Y,Z} : \mathcal{B}(Y, Z) \times \mathcal{B}(X, Y) \rightarrow \mathcal{B}(X, Z)$$

are endowed with 2-cell maps making them into 2-functors.

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3-categories  $\subset$  2-bicategories  $\subset$  Tricategories

## Theorem

*Let  $\mathcal{K}$  be a 2-category with pullbacks. Then  $\mathbf{Poly}_{\mathcal{K}}$  is a 2-bicategory and*

$$\mathbf{P}_{\mathcal{K}} : \mathbf{Poly}_{\mathcal{K}} \rightarrow \mathbf{2-CAT}$$

*is a homomorphism of 2-bicategories.*

## Theorem

Let  $\mathcal{K}$  be a 2-category with pullbacks. Then  $\mathbf{Poly}_{\mathcal{K}}$  is a 2-bicategory and

$$\mathbf{P}_{\mathcal{K}} : \mathbf{Poly}_{\mathcal{K}} \rightarrow \mathbf{2-CAT}$$

is a homomorphism of 2-bicategories.

A **pseudo-monad** on an object  $X$  of a 2-bicategory  $\mathcal{B}$ , is a pseudo-monoid in the monoidal 2-category  $\mathcal{B}(X, X)$ .

# 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

**Examples**

More  
examples

Discrete opfibrations with small fibres are exponentiable, pullback stable and closed under composition.

# 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Discrete opfibrations with small fibres are exponentiable, pullback stable and closed under composition. Thus one can consider the sub-2-bicategory  $\mathcal{S}$  of **Poly**<sub>CAT</sub> consisting of those polynomials whose middle map is such.



# 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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Every discrete opfibration with small fibres arises as a pullback of  $U : \mathbf{Set}_\bullet \rightarrow \mathbf{Set}$ .

## 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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$$1 \longleftarrow \mathbf{Set}_\bullet \xrightarrow{U} \mathbf{Set} \longrightarrow 1$$

is a biterminal object of  $\mathcal{S}(1, 1)$ .

## 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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## 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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## 2-topos examples

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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More generally, replace **CAT** by a finitely complete  $\mathcal{K}$  whose discrete opfibrations are exponentiable and  $U$  by a classifying discrete opfibration in  $\mathcal{K}$ .

# Street's internal fibrations

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Recall that the inclusion  $\Delta \hookrightarrow \mathbf{CAT}$  is a cocategory object, and its canonical generators enjoy some lovely adjointnesses

$$\begin{array}{ccccccc}
 & & & & & \xrightarrow{\delta_3} & \\
 & & & & & \perp \sigma_2 & \\
 & & & & & \perp \delta_2 & \\
 & & & & & \perp \sigma_1 & \\
 & & & & & \perp \delta_1 & \\
 & & & & & \perp \sigma_0 & \\
 & & & & & \perp & \\
 & & & & & \xrightarrow{\delta_0} & \\
 [0] & \xrightarrow{\delta_1} & & \xrightarrow{\delta_2} & & \xrightarrow{\delta_3} & \\
 \leftarrow \perp \sigma_0 & [1] & \leftarrow \perp \sigma_1 & \leftarrow \perp \delta_1 & [2] & \leftarrow \perp \sigma_2 & [3] & \dots \\
 \perp & & \perp & \perp & & \perp & & \\
 \xrightarrow{\delta_0} & & \xrightarrow{\delta_0} & \xrightarrow{\delta_0} & & \xrightarrow{\delta_0} & & \\
 & & & & & \perp & & \\
 & & & & & \xrightarrow{\delta_0} & & 
 \end{array}$$

# Street's internal fibrations

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

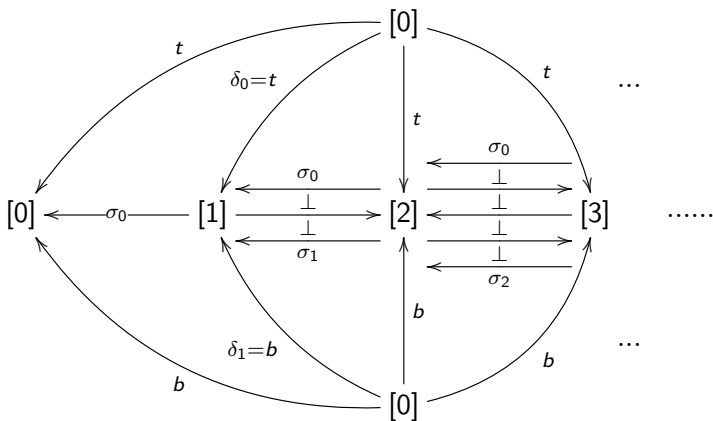
Iterability

2-bicategories

Examples

More  
examples

Reinterpreting a little ...



is a lax idempotent pseudo monad (on  $[1]$ ) in the 2-bicategory

**Cospan**<sub>CAT</sub>.

# Street's internal fibrations

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Let  $X$  be an object of a finitely complete 2-category  $\mathcal{K}$ .



# Street's internal fibrations

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Let  $X$  be an object of a finitely complete 2-category  $\mathcal{K}$ .

Cotensoring the previous slide with  $X$  gives a lax idempotent pseudo monad (on  $X$ ) in the 2-bicategory  $\mathbf{Span}_{\mathcal{K}}$ , which sits inside  $\mathbf{Poly}_{\mathcal{K}}$ .

# Street's internal fibrations

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Let  $X$  be an object of a finitely complete 2-category  $\mathcal{K}$ .

Cotensoring the previous slide with  $X$  gives a lax idempotent pseudo monad (on  $X$ ) in the 2-bicategory  $\mathbf{Span}_{\mathcal{K}}$ , which sits inside  $\mathbf{Poly}_{\mathcal{K}}$ .

The associated pseudo monad on  $\mathcal{K}/X$  is the monad for fibrations.

# Local right adjoints vs polynomial functors

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  is a **local right adjoint** when for all  $X \in \mathcal{A}$  the induced functor

$$F_X : \mathcal{A}/X \rightarrow \mathcal{B}/FX$$

is a right adjoint. When  $\mathcal{A}$  has  $1$ , it suffices to check this for  $X = 1$ .

# Local right adjoints vs polynomial functors

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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is a right adjoint. When  $\mathcal{A}$  has 1, it suffices to check this for  $X = 1$ .

Polynomial functors are l.r.a because for a polynomial  $p$ , the composite  $\Pi_{p_2} \Delta_{p_1}$  may be identified with  $\mathbf{P}_{\mathcal{E}}(p)_1$ .

# Local right adjoints vs polynomial functors

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  is a **local right adjoint** when for all  $X \in \mathcal{A}$  the induced functor

$$F_X : \mathcal{A}/X \rightarrow \mathcal{B}/FX$$

is a right adjoint. When  $\mathcal{A}$  has 1, it suffices to check this for  $X = 1$ .

Polynomial functors are l.r.a because for a polynomial  $p$ , the composite  $\Pi_{p_2} \Delta_{p_1}$  may be identified with  $\mathbf{P}_{\mathcal{E}}(p)_1$ . Notice that the left adjoint to  $\mathbf{P}_{\mathcal{E}}(p)_1$  is  $\Sigma_{p_1} \Delta_{p_2}$  which itself preserves connected limits and thus in particular monos.

# Local right adjoints vs polynomial functors

2-bicategories  
of polynomials

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Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

## Example

The category monad  $T$  on **Gph** is l.r.a. but not polynomial over **Gph**.

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2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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The category monad  $T$  on  $\mathbf{Gph}$  is l.r.a. but not polynomial over  $\mathbf{Gph}$ . The left adjoint  $L_T : \mathbf{Gph}/T1 \rightarrow \mathbf{Gph}$  to  $T_1$ , applied to a labelled graph, replaces each edge labelled by  $n$  by a path of length  $n$ . In particular, the source and target of an edge labelled by 0 are identified, and so  $L_T$  does not preserve monos.

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2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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Given *Polynomial functors and opetopes* – BJKM 2007, this is a little sad.



# Polynomial from a l.r.a between presheaf categories

2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

Given  $T : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{D}}$  l.r.a one has

$$\begin{array}{ccccc} & & L_T & & \\ & & \longleftarrow & & \\ \widehat{\mathbb{C}} & \xleftrightarrow{\perp} & \widehat{\mathbb{D}}/T_1 & \xrightarrow{\Sigma_{T_1}} & \widehat{\mathbb{D}} \\ & \xrightarrow{T_1} & & & \\ & & \uparrow & & \\ & & y_{\widehat{\mathbb{D}}}/T_1 & & \\ & \xleftarrow{E_T} & & & \end{array}$$

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2-bicategories  
of polynomials

Mark Weber

Introduction

Basic  
generalisation

Iterability

2-bicategories

Examples

More  
examples

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$E_T$  is a diagonal arrow from  $y_{\mathbb{D}}/T1$  to  $\widehat{\mathbb{C}}$ .

From which one produces the polynomial  $p_T : \mathbb{C} \rightarrow \mathbb{D}$

$$\mathbb{C} \xleftarrow{p_{T,1}} y_{\mathbb{C}}/E_T \xrightarrow{p_{T,2}} y_{\mathbb{D}}/T1 \xrightarrow{p_{T,3}} \mathbb{D}$$

## Proposition

*Let  $T : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{D}}$  be l.r.a. Then  $T$  can be recovered from its associated polynomial in the following ways:*

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- 1** *Directly as  $T \cong \text{lan}_{p_3} \text{ran}_{p_2} \text{res}_{p_1}$ .*
- 2** *By applying  $\mathbf{P}(p_T)$  to discrete fibrations.*